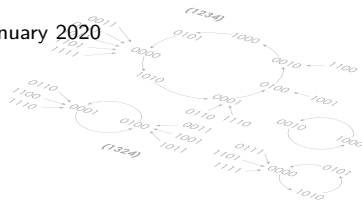


Structure-to-Function Theory for Boolean Networks

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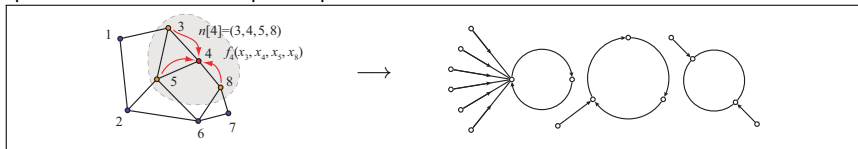


What is Structure-to-Function Theory for BNs?

► The structure of a Boolean network includes:

- the vertex functions $(f_i)_{i=1}^n$
- the update mechanism (e.g., parallel, sequential)
- the variable dependency graph G (defined by the vertex functions)

► Structure-to-function theory for BNs relates the properties of the above components to properties of the associated phase spaces:



► Most of the theory and results shown in this presentation hold for generalizations of BNs (referred to as for example graph dynamical systems/automata networks/polynomial dynamical systems/finite dynamical systems/sequential dynamical systems).

Terminology and Notation: Sequential Graph Dynamical Systems (I)

► Structure:

- A (vertex) function sequence $(f_i)_{i=1}^n$ with $f_i: K^n \rightarrow K$ with K a finite set (for example $K = \{0, 1\}$.)
- A corresponding function sequence $(F_i)_{i=1}^n: K^n \rightarrow K^n$ defined by

$$F_i(x = (x_1, x_2, \dots, x_n)) = (x_1, \dots, x_{i-1}, f_i(x), x_{i+1}, \dots, x_n) .$$

- A permutation $\pi = (\pi_1, \dots, \pi_n) \in S_n$.

Definition

The *sequential graph dynamical system map* $F_\pi: K^n \rightarrow K^n$ given by $f = (f_i)_i$ and π is

$$F_\pi = F_{\pi_n} \circ F_{\pi_{n-1}} \circ \dots \circ F_{\pi_2} \circ F_{\pi_1} .$$

Terminology and Notation: Sequential Graph Dynamical Systems II

Definition

The **variable dependency graph** G of $(f_i)_i$ is the simple graph with vertex set $V(G) = \{1, 2, \dots, n\}$ and edge set $E(G)$ all undirected edges $\{i, j\}$ for which f_i depends non-trivially on x_j or f_j depends non-trivially on x_i . The symmetric group on $V(G)$ is denoted by S_G (the set of all permutation update sequences).

Definition

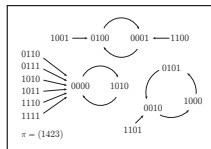
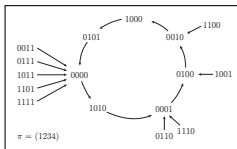
The **phase space** of $F: K^n \rightarrow K^n$ is the directed graph Γ with vertex set K^n and edge set $\{(x, F(x)) \mid x \in K^n\}$.

Example I: a Structure-to-Function Result for ABNs

- Boolean vertex functions $f = (f_i)_{i=1}^4$ defined by (indices modulo 4):

$$f_k(x_1, x_2, x_3, x_4) = \text{nor}_3(x_{k-1}, x_k, x_{k+1}) = (1 + x_{k-1})(1 + x_k)(1 + x_{k+1}) \mod 2$$

- Dependency graph G is a square.
- Example phase spaces $\Gamma(F_\pi)$ with $\pi \in S_G$:



Theorem

For any $\pi \in S_G$ and ABN map F_π where each vertex function is a nor-function, $\text{Per}(F_\pi)$ is in a 1-1 correspondence with the set of independent sets of G .

(For $I \in \mathcal{I}$ define $x_I = (x_v)_v$ by $x_v = 1$ if and only if $v \in I$.)

Example II: a Structure-to-Function Result for BNs

Definition (Threshold vertex function)

Let $K = \{0, 1\}$, let $A = (a_{ij})_{i,j=1}^n$ be a real symmetric matrix, let $\theta = (\theta_1, \dots, \theta_n) \in \mathbb{R}^n$, and let $\mathbf{F} = (f_1, \dots, f_n): K^n \rightarrow K^n$ be the function defined coordinate-wise by

$$f_i(x_1, \dots, x_n) = \begin{cases} 0, & \text{if } \sum_{j=1}^n a_{ij}x_j < \theta_i \\ 1, & \text{otherwise.} \end{cases}$$

Theorem (Goles & Olivos [1])

If \mathbf{F} is a BN map over a graph G where each vertex function is a generalized threshold function as above, then all $x \in \{0, 1\}^n$, are forward asymptotic to a fixed point or a 2-cycle.

Main Presentation Outline

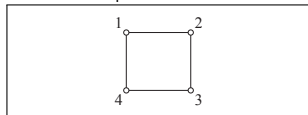
- **Setup:** will consider a fixed list of vertex function $(f_v)_v$ (and therefore a fixed graph G), and will vary the update sequence $\pi \in S_G$.
- **Goals:**
 - Demonstrate how one may compare maps F_π and $F_{\pi'}$ using various types of comparisons using properties of G
 - Give algorithms for deriving complete sets of update sequence representatives for exploring the diversity of dynamics under the various comparisons (i.e., equivalence notions)
- **Comparisons:**
 - **Functional equivalence** – identify of maps
 - **Dynamical equivalence** – topological conjugacy of maps
 - **Cycle equivalence** – topological conjugacy of maps restricted to their periodic points
- **Associated structures and combinatorics:**
 - The set of acyclic orientations of G , denoted by $\boxed{\text{Acyc}(G)}$
 - Toric equivalence \sim_κ on $\text{Acyc}(G)$ and its set of equivalence classes $\boxed{\text{Acyc}(G)/\sim_\kappa}$
 - The automorphism group of G , denoted by $\boxed{\text{Aut}(G)}$ (if time permits)

Acyclic Orientations and Functional Equivalence I — $\text{Acyc}(G)$

► **Question:** for $\pi, \pi' \in S_G$, when is $\mathbf{F}\pi = \mathbf{F}\pi'$?

► **Key insight:** $F_4 \circ F_1 \circ F_3 \circ F_2 = F_4 \circ F_3 \circ F_1 \circ F_2$

$G = \text{Circle}_4$



Definition (\sim_α on S_G)

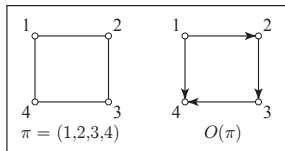
Two permutations $\pi, \pi' \in S_G$ are α -related if they differ by exactly one transposition of two consecutive elements π_i and π_{i+1} where $\{\pi_i, \pi_{i+1}\} \notin E(G)$.

The equivalence relation \sim_α on S_G is the transitive and reflexive closure of the α -relation.

$U(\text{Circle}_4)$

(1234) • • (2341)	(3412) • • (4123)
(4321) • • (3214)	(2143) • • (1432)
(1243) — (1423)	(3241) — (3421)
(2134) — (2314)	(4132) — (4312)
(1324) □ (3124)	(2413) □ (4213)
(1342) □ (3142)	(2431) □ (4231)

► The map $f'_G: S_G \rightarrow \text{Acyc}(G)$ is defined by mapping $\pi \in S_G$ to the acyclic orientation $O(\pi)$ where each edge is oriented according to π (as a linear order.)



Acyclic Orientations and Functional Equivalence II — $\text{Acyc}(G)$

► Let $f = (f_i)_i$. We set $\alpha_f(G) = |\{F_\pi \mid \pi \in S_G\}|$.

Proposition

Let $f = (f_i)_i$ be a function sequence with dependency graph G .

- (i) We have $\pi \sim_\alpha \pi'$ implies $F_\pi = F_{\pi'}$.
- (ii) The map f'_G extends to a well-defined bijection $f_G: S_G/\sim_\alpha \longrightarrow \text{Acyc}(X)$ by $[\pi] \xrightarrow{f_G} O(\pi)$.
- (iii) We have $\alpha_{\text{nor}}(G) = \alpha(G)$.

► Implications and results:

- $\text{Nor}_\pi = \text{Nor}_{\pi'}$ if and only if $\pi \sim_\alpha \pi'$.
- Have a computationally efficient, graph-based, sufficient condition to guarantee equality of maps F_π and $F_{\pi'}$: if $O(\pi) = O(\pi')$ then $F_\pi = F_{\pi'}$.
- Can enumerate $\alpha(G)$ through the deletion/contraction recursion relation:

$$\alpha(X) = \alpha(X/e) + \alpha(X \setminus e)$$

Note that $\alpha(G) = T_G(2, 0)$. Here T_G is the Tutte polynomial of G . (Remark: the point $(2, 0)$ is in the computationally intractable domain (D. Welsh).)

Acyclic Orientations and Functional Equivalence III — $\text{Acyc}(G)$

► Summary:

- Have linked $\text{Acyc}(G)$ to functional equivalence of ABN maps F_π
- Have an efficient, sufficient condition to determine if $F_\pi = F_{\pi'}$ using $O(\pi)$ and $O(\pi')$
- The condition is valid for any fixed list of vertex functions $(f_v)_v$ for any state set K (even infinite)
- Have an upper bound for the number of distinct maps F_π that can be constructed by varying π : $\alpha(G) = |\text{Acyc}(G)|$
- These results are also valid for directed graphs G

Cycle Equivalence

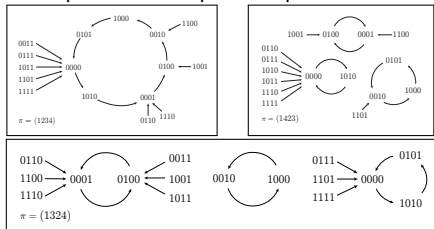
Definition (Cycle Equivalence)

Two maps ϕ and ψ over finite state spaces are *cycle equivalent* if there is a bijection h such that

$$\psi \circ h = h \circ \phi$$

holds when restricted to the periodic points of ϕ . (Or: multi-sets of cycle sizes are equal.)

► **Example:** Nor_π for selected permutation update sequences over $G = \text{Circle}_4$:



► **Note:** there are 2 distinct cycle structures in the phase spaces above: $\{7(1)\}$ and $\{2(2), 3(1)\}$

Theorem (Macauley & Mortveit, Nonlinearity 2009)

Let $f = (f_i)_i$ be a sequence of vertex functions and assume that the state space satisfies $|K| < \infty$. For any permutation $\pi \in S_G$, the maps F_π and $F_{\text{shift}(\pi)}$ are cycle equivalent.

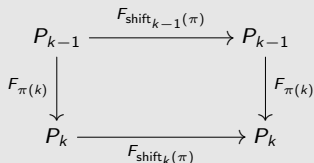
Proof idea: $F_1 \circ (F_n \circ \cdots \circ F_2 \circ F_1) = (F_1 \circ F_n \circ \cdots \circ F_2) \circ F_1$.

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Proof idea: $F_1 \circ (F_n \circ \cdots \circ F_2 \circ F_1) = (F_1 \circ F_n \circ \cdots \circ F_2) \circ F_1$.

Set $P_k = \text{Per}(F_{\text{shift}_k(\pi)})$. The diagram



commutes for all $1 \leq k \leq n$, and $F_{\pi(k)}(P_{k-1}) \subset P_k$.

The restriction map $F_{\pi(k)}: P_{k-1} \rightarrow F_{\pi(k)}(P_{k-1})$ is an injection, so $|P_{k-1}| \leq |P_k|$ and

$$|\text{Per}(F_\pi)| \leq |\text{Per}(F_{\text{shift}_1(\pi)})| \leq \cdots \leq |\text{Per}(F_{\text{shift}_{n-1}(\pi)})| \leq |\text{Per}(F_\pi)|.$$

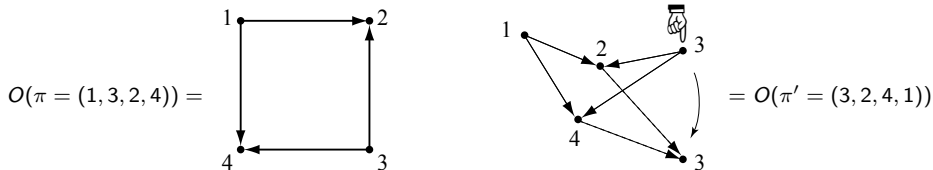
All inequalities are equalities, and since the graph and state space are finite, all the restriction maps $F_{\pi(k)}$ are bijections.

Cycle Equivalence - III

► **Observation 1:** if two permutations $\pi, \pi' \in S_G$ differ by (i) a sequence of consecutive, non-adjacent transpositions and (ii) cyclic shifts, then F_π and $F_{\pi'}$ are cycle equivalent. If π, π' are related in this manner, then we say they are *torically equivalent*.

► **Observation 2:** toric equivalence of permutations is succinctly captured through sequences of *source-to-sink conversions* of acyclic orientations.

► **Example:**



Definition (Toric equivalence \sim_κ on $\text{Acyc}(G)$)

For acyclic orientations $O, O' \in \text{Acyc}(G)$ we say that O is κ -related to O' if O can be converted to O' by converting exactly one source vertex $v \in G$ of O to a sink.

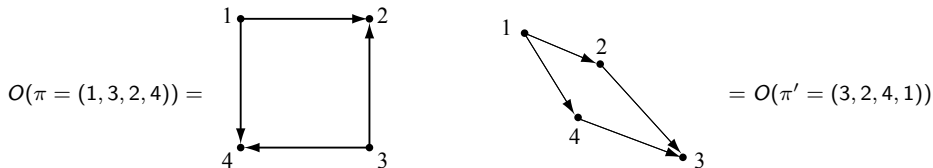
The *toric equivalence* relation \sim_κ on $\text{Acyc}(G)$ is the transitive- and reflexive closure of the κ -relation.

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Combinatorics and Dynamics Related to Toric Equivalence

- We set $\kappa(G) = |\text{Acyc}(G)/\sim_\kappa|$, the number of toric equivalence classes.
- By the previous theorem, $\kappa(G)$ is an upper bound for the number of distinct cycle structure that one can generate by maps of the form F_π for a fixed sequence $(f_v)_v$.

Theorem (Macauley & Mortveit, Proc. AMS.)

$$\kappa(G) = \begin{cases} \kappa(G_1)\kappa(G_2), & e \text{ is a bridge linking } G_1 \text{ and } G_2, \\ \kappa(G/e) + \kappa(G \setminus e), & e \text{ is a cycle-edge.} \end{cases}$$

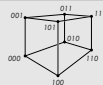
Corollary (Macauley & Mortveit)

Let $f = (f_i)_i$ be a sequence of vertex functions whose dependency graph G is a tree. Then all maps F_π have the same periodic orbit structure.

- Because: for a tree G we have $\kappa(G) = 1$.

Example (Enumerations)

Let $G = Q_2^3$, the binary 3-cube for which $|S_G| = 8! = 40320$.



$$\begin{aligned}
 \kappa(\text{cube}) &= \kappa(\text{cube with 1 diagonal}) + \kappa(\text{cube with 2 diagonals}) = \kappa(\text{cube with 3 diagonals}) + 2\kappa(\text{cube with 4 diagonals}) + \kappa(\text{cube with 5 diagonals}) \\
 &= \kappa(\text{cube with 1 diagonal}) + 2\kappa(\text{cube with 2 diagonals}) + 2\kappa(\text{cube with 3 diagonals}) + \kappa(\text{cube with 4 diagonals}) + \kappa(\text{cube with 5 diagonals}) \\
 &= \kappa(\text{cube with 1 diagonal}) + 4\kappa(\text{cube with 2 diagonals}) + 2\kappa(\text{cube with 3 diagonals}) + \kappa(\text{cube with 4 diagonals}) + \kappa(\text{cube with 5 diagonals}) \\
 &= 27 + 64 + 16 + 12 + 14 = \boxed{133}
 \end{aligned}$$

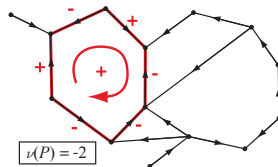
- Can show in a similar way that $\alpha(Q_2^3) = |\text{Acyc}(Q_2^3)| = 1862$.
- Moreover, we have $\delta(G) = 67$ and $\bar{\alpha}(G) = 54$ and $\bar{\kappa}(Q_2^3) = 8$, but that is for another talk.
- All bounds, as they pertain to dynamics, are attained for using vertex functions $(\text{nor}_i)_i$.

Determining if $\pi \sim_{\kappa} \pi'$ — Coleman's ν -function

► Let $P = (v_1, v_2, \dots, v_k)$ be a (possibly closed) simple path in G . The map

$$\nu_P: \text{Acyc}(G) \longrightarrow \mathbb{Z}$$

is defined by $\nu_P(O) = n_P^+(O) - n_P^-(O)$ where n_P^+ (resp. n_P^-) is the number of edges of the form $\{v_i, v_{i+1}\}$ in G oriented as (v_i, v_{i+1}) (resp. (v_{i+1}, v_i)) in O .



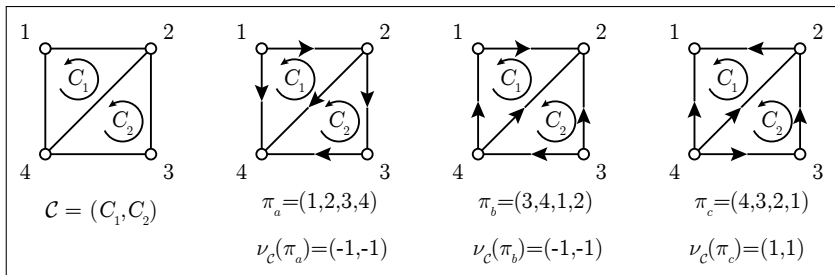
Lemma

Let C be a simple, closed path in the simple graph G . The map ν_C induces a map $\nu_C^*: \text{Acyc}(G)/\sim_{\kappa} \longrightarrow \mathbb{Z}$.

Determining if $\pi \sim_{\kappa} \pi'$, thus implying that F_{π} and $F_{\pi'}$ are cycle equivalent.

Proposition (Macauley & Mortveit, EJC.)

Let $\mathcal{C} = (C_1, \dots, C_m)$ be a cycle basis of G . Extended to \mathcal{C} , the function $\nu_{\mathcal{C}}^*$ is complete invariant for κ -equivalence



► Implications:

- The map $\nu_{\mathcal{C}}^*$ provides a computationally efficient method to assess if $\pi \sim_{\kappa} \pi'$, and in turn:
- We have a computationally efficient, graph/sequence-based, sufficient condition to test if F_{π} and $F_{\pi'}$ are cycle equivalent.

Constructing a complete phase space atlas for cycle structures

Proposition (Macauley & Mortveit, Proc. AMS)

Let $\text{Acyc}_v(G)$ be the subset of $\text{Acyc}(G)$ consisting of all elements where the vertex v is the unique source. For any fixed vertex v of a connected graph G , there is a bijection

$$\phi_v: \text{Acyc}_v(G) \longrightarrow \text{Acyc}(G)/\sim_\kappa .$$

► **Cycle Structure Atlas Recipe.** [Mortveit and Pederson, Math. Bull. 2019 [2]] Constructing all possible cycle structures for maps F_π under fixed vertex functions $(f_v)_v$.

- Pick a vertex v of maximal degree, and construct $\text{Acyc}_v(G)$.
- For each $O \in \text{Acyc}_v(G)$ pick a permutation representative π (using for example $f_G^{-1}(\text{Acyc}_v(G))$).
- Determine the cycle structure of F_π .

► Have Python code for the above computations (see [2]):

`git@github.com:HenningMortveit/gds-framework-python.git,`

Example: gene-regulatory network for *Arabidopsis thaliana*

- ▶ Computational scaling of previous algorithm: how large networks can be handled?
- ▶ In practice, many application networks (e.g., biological) contain “parameter vertices” or are asymptotically fixed.
- ▶ **Illustration:** Model from Demongeot et al. [3]; considers 12 genes and their associated regulatory network for the plant *Arabidopsis thaliana*
- ▶ Model class: generalized, binary, threshold GDS (same as earlier, but using their notation here)
- ▶ $W = [W_{ij}] \in \mathbb{R}^{n \times n}$ matrix of weights
- ▶ $\theta = [\theta_i] \in \mathbb{R}^n$ vector of thresholds
- ▶ GDS $F: K^n \rightarrow K^n$ defined by (H the Heaviside step function):

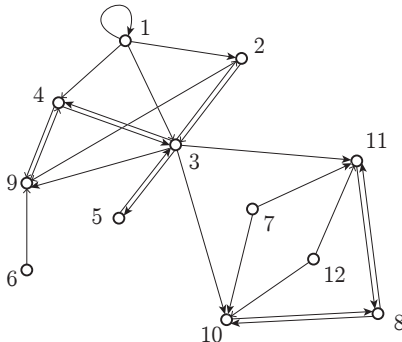
$$(F(x))_i = H\left(\left(\sum_j w_{ij} x_j\right) - \theta_i\right) \quad (1)$$

- ▶ Goal: investigate the dynamical diversity of attractors for the asynchronous update scheme using permutations.

Example: gene-regulatory network for *Arabidopsis thaliana*

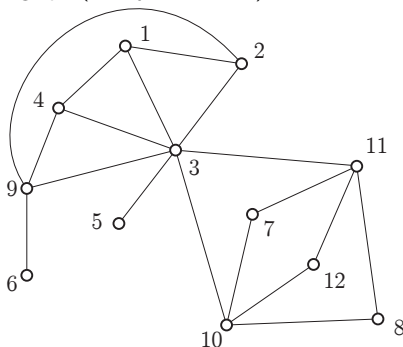
- Translation table from gene name (abbrvs.) to integers:

Abbrev.	ID	Abbrev.	ID	Abbrev.	ID	Abbrev.	ID
EMF1	1	TFL1	2	LFY	3	AP1	4
CAL	5	LUG	6	UFO	7	BFU	8
AG	9	AP3	10	PI	11	SUP	12



Example: gene-regulatory network for *Arabidopsis thaliana*

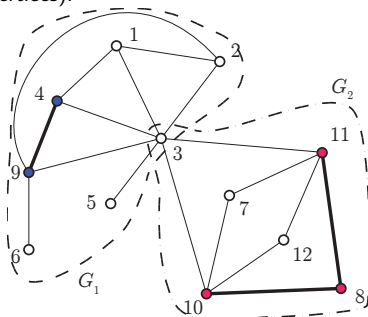
- Associated combinatorial graph (theory is identical):



- $\kappa(G) = \kappa(G_1)(G_2) = (2^4 - 2) \times (2^4 - 1) = 210$
- Thus: at most 210 distinct attractor structures (compare to $12! = 479,001,600$)
- This holds for any choice of vertex functions.
- However, for the specific choice here we can do more.

Example: gene-regulatory network for *Arabidopsis thaliana*

- By the particular form of the GDS map H (i.e. the matrix W) many states will be fixed on attractors. For each choice of initial value for $x_1 = x_1(0)$ we obtain the following simplified graph (induced by colored vertices):

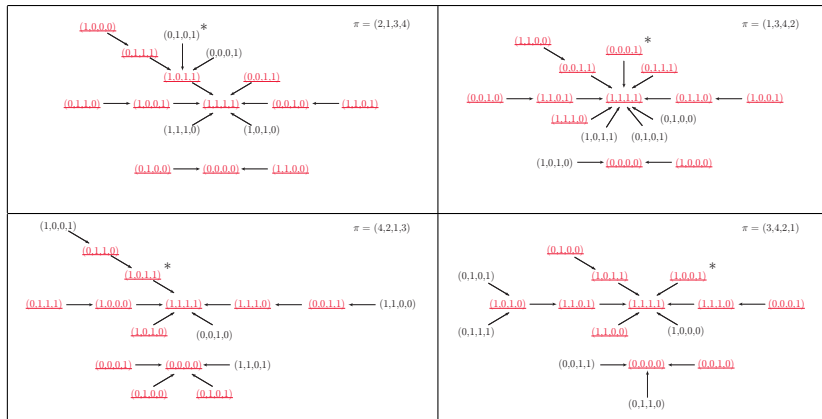


- The highlighted subgraph is a tree, so its κ -value is 1. Taking into account the two possible initial values for x_1 we therefore conclude that **there are at most 2 attractor structures for this network under sequential update schemes.**
- **Quiz:** What do the cycle structures look like?

Beyond Cycle Structure: Toric Equivalence and Transient Structure I

► The map $F_{\pi_1} : \Gamma_{F_\pi} \longrightarrow \Gamma_{F_{\sigma_1(\pi)}}$ is a graph morphism mapping each edge $(x, F_\pi(x)) \in \Gamma_{F_\pi}$ to the edge $(F_{\pi_1}(x), F_{\pi_1}(F_\pi(x))) \in \Gamma_{F_{\sigma_1(\pi_1)}}$.

► **Example:** the graph is Circle_4 with vertex set $\{1, 2, 3, 4\}$ plus the additional diagonal edge $\{1, 3\}$; Each vertex function is a bi-threshold functions with $k^\uparrow = 1$ and $k^\downarrow = 3$.



Beyond Cycle Structure: Toric Equivalence and Transient Structure II

Theorem (Under review.)

Assume that $x = x^{(0)} \in \text{GoE}(F_\pi)$ with maximal transient path $P_0 = P_{F_\pi}(x^{(0)})$ satisfies (i) $F_\pi(x) \notin \text{Per}(F_\pi)$ and (ii) $F_\pi^{-1}(F_\pi(x)) \subset \text{GoE}(F_\pi)$. Then (a) the states $x^{(k)} \in K^n$ defined by

$$x^{(k)} = F_{\pi_k} \circ \dots \circ F_{\pi_1}(x) \text{ in } \Gamma(F_{\sigma_k(\pi)}), \quad \text{with } 0 \leq k \leq n-1,$$

are all transient states of their respective phase spaces. Moreover, (b) any sequence of maximal transient paths $(P_k)_k$ with P_k containing $x^{(k)}$ satisfies the inequality

$$|\ell(P_k) - \ell(P_0)| \leq 1,$$

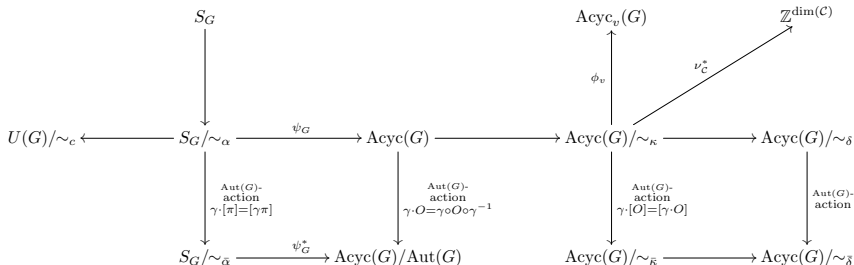
and (c)

$$|\{k \mid \ell(P_k) \neq \ell(P_{k+1})\}| \leq 2.$$

Corollary

For each toric equivalence class $[O(\pi)]_\kappa$ there exists k such that the maximal transient length $\ell_{\max}(F_\pi)$ satisfies $k-1 \leq \ell_{\max}(F_\pi) \leq k$.

Summary: Equivalences of Maps F_π and Overview of Structures



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